

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 614.1921/PIK	APPLICATION NO. New
LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Tadao NAKAZAWA et al.	
		FILING DATE February 11, 1999	GROUP ART UNIT Unassigned

02/11/99
CL35 09/24810

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AB						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AB</i>	AC	Smith et al. "Integrated-Optic Acoustically-Tunable Filters for WDM Networks" IEEE Journal on Selected Areas in Communications, Vol. 8, No. 6, August 1990, pgs. 1151-1159.
<i>AB</i>	AD	Tian et al. "Interchannel Interference in Multiwavelength Operation of Integrated Acousto-Optical Filters and Switches" Journal of Lightwave Technology, Vol. 13, No. 6, June 1995, pgs. 1146-1154
<i>AB</i>	AE	Yun et al. "All-fiber acousto-optic tunable filter" OFC '95 Technical Digest, Wednesday Afternoon, WP16, 5:00 pm, pgs. 186-187.
<i>AB</i>	AF	Kim et al. "Single-mode-fiber acousto-optic tunable notch filter with variable spectral profile" Korea Advanced Institute of Science and Technology, PD7-1 to PD7-4.
<i>AB</i>	AG	Hwang et al. "All-fiber-optic nonreciprocal modulator" Optics Letters, Vol. 22, No. 8, April 15, 1997, pgs. 507-509.
<i>AB</i>	AH	Östling et al. "Narrow-band acousto-optic tunable filtering in a two-mode fiber" Optics Letters, Vol. 20, No. 11, June 1, 1995, pgs. 1247-1249.
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<i>ABull</i>	12/18/01

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ATTACHMENT 1(f)

LIST OF COPENDING APPLICATIONS	ATTORNEY DOCKET NO.	APPLICATION NO.
	614.1921/PIK	New
	FIRST NAMED INVENTOR	
	Tadao NAKAZAWA et al.	
	FILING DATE	GROUP ART UNIT
	February 11, 1999	Unassigned

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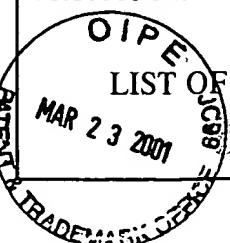
U.S. PATENT APPLICATION DOCUMENTS

*EXAMINER INITIAL		U.S. SERIAL NO.	FILING DATE	NAME	ASSIGNEE
<i>JB</i>	1	09/080,399	5/18/98	Hiroshi ONAKA et al.	Fujitsu Limited
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 614.1921 (PIK)	APPLICATION NO. 09/248,103
LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Tadao NAKAZAWA, et al.	
		FILING DATE February 11, 1999	GROUP ART UNIT 3458



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FOREIGN PATENT DOCUMENTS

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AB	WO 97/10658	20.03.97	PCT	H04J	14/02	
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AB	AA	5,718,226	2-17-98	Riza		O+P	
	AB						AUG 8 9 1999
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FOREIGN PATENT DOCUMENTS

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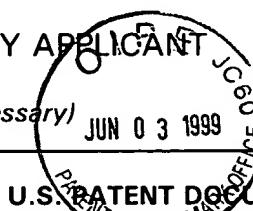
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AB	AA	5,276,543	1-4-94	Olshansky			
AB	AB	5,444,528	8-22-95	Puschell			Group 2700

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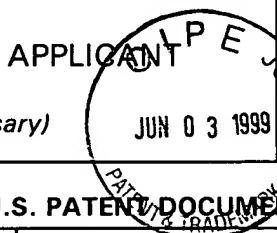
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AB	AH	T. Nakazawa et al., "Development of Lithium-Niobate Tunable Filters", <u>IEICE, OPE96-123</u> , December, 1996, pp. 79-84. (Translation of Abstract only)
AB	AI	Hosoi et al., "Polarization Independent Tunable Filter Using a Straightly Focused Acoustic Beam on X-cut Y-propagating LiNbO ₃ ", <u>Technical Report of IEICE, OPE97-8</u> , May, 1997, pp. 41-46. (Translation of Abstract only)
AB	AJ	Fukutoku, et al., "Optical Beat-Induced Crosstalk of an Acousto-Optic Tunable Filter for WDM Network Application", <u>Technical Report of IEICE, OCS94-38</u> , pp. 39-45. (Translation of Abstract only)
AB	AK	F. Tian, et al., "Interchannel Interference in Multiwavelength Operation of Integrated Acousto-Optical Filters and Switches", <u>Journal of Lightwave Technology</u> , June, 1995, Vol. 13, No. 6, pp. 1146-1154.
AB	AL	Perrier, et al., "Rack-mounted optical Add/Drop Multiplexers in a Self-Healing Multiwavelength Ring Network Demonstrator", <u>PThA4, Photonics in Switching</u> , Sendai, April, 1996.
AB	AM	K. Nakaya et al., "LiNbO ₃ Acousto-optic tunable filter module with temperature control function", <u>The 1997 IEICE Spring Conference</u> , 1997, p. 370. (Complete Translation provided)

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<i>ABell</i>	12/18/01

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	BA						Group 2700	

FOREIGN PATENT DOCUMENTS

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	BB						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AB</i>	BC	M. Misono, et al., "Wavelength Switching and Stabilization of Acousto-Optic Tunable Filter", <u>The 1995 IEICE Spring Conference</u> , 1995, p. 539. (Complete Translation provided)
<i>AB</i>	BD	M. Sakauchi, et al., "Multi-channel optical wavelength controller utilizing AO filter for WDM transmission", <u>The 1996 IEICE Fall Conference, Communication Society</u> , 1996, p. 576. (Complete Translation provided)
<i>AB</i>	BE	T. Yoshida et al., "Control of Optical Output Level of WDM Optical Fiber Amplifier", <u>The 1996 IEICE Fall Conference, Communication Society</u> , 1996, p. 581. (Complete Translation provided)
<i>AB</i>	BF	T. Hosoi et al., "Wide Tunable Range and Low Sidelobe Level of Double-stage Polarization Independent Acousto-optic Tunable Filter", <u>The 1996 IEICE Spring Conference</u> , 1996, p. 254. (Complete Translation provided)
<i>AB</i>	BG	W. Kawakami et al., "A field experiment of WDM HUB node employing simple ADM configuration with 2R regenerators", <u>The 1997 IEICE Spring Conference</u> , 1997, p. 738. (Complete Translation provided)
<i>AB</i>	BH	K. Asahi et al., "WDM four fiber ring experiment", <u>The 1997 IEICE Spring Conference</u> , 1997, p. 739. (Complete Translation provided)
<i>AB</i>	BI	T. Sasaki et al., "8 Channel 2.4Gb/s Narrow Band-WDM Transmission Experiment with ADM In-line Amplifier over 600km fibers", <u>The 1997 IEICE Spring Conference</u> , 1997, p. 740. (Complete Translation provided)
<i>AB</i>	BJ	S. Yamaguchi, et al., "Control of Add signal level for Optical ADM" <u>The 1997 IEICE Spring Conference</u> , 1997, p. 742. (Complete Translation provided)
<i>AB</i>	BK	D. Uehara, et al., "Optical add/drop multiplexer using wavelength selectable optical transmitter", <u>The 1997 IEICE Spring Conference</u> , 1997, p. 741. (Complete Translation provided)

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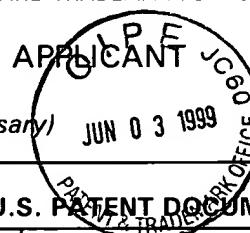
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U.S. PATENT DOCUMENTS

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*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	JUN 04 1999
	CA						Group 2700

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	CB						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AB</i>	CC	N. Nagatsu, et al., "WDM ring architectures and networking capability", <u>The 1997 IEICE Fall Conference, Communication Society</u> , 1997, p. 382. (Complete Translation provided)
<i>AB</i>	CD	D. Uehara, "Highly Reliable and Economical WDM Ring with Optical Self-Healing and 1:N Wavelength Protection", <u>The 1997 IEICE Fall Conference, Communication Society</u> , p. 383. (Complete Translation provided)
<i>AB</i>	CE	K. Shimano, et al., Node configuration on OADM ring system", <u>The 1997 IEICE Fall Conference, Communication Society</u> , 1997, p. 384. (Complete Translation provided)
<i>AB</i>	CF	H. Takeshita, et al., "A Development of Optical Network Ssytem (1) - A Study on Alarm Monitoring and Transferring Scheme for Self-Healing Optical Path Network", <u>The 1997 IEICE Fall Conference, Communication Society</u> , 1997, p. 393. (Complete Translation provided)
<i>AB</i>	CG	H. Obara, et al., "Transmission over a 200-km single-fiber bidirectional ring network with reconfigurable WDM add/drop repeaters", <u>The 1997 IEICE Fall Conference, Communication Society</u> , 1997, p. 401. (Complete Translation provided)
<i>AB</i>	CH	M. Shigematsu, et al., "Analog Transmission Characteristics of Fiber-Bragg-Grating-Based Optical Taps", <u>The 1997 IEICE Fall Conference, Communication Society</u> , 1997, p. 365. (Complete Translation provided)
<i>AB</i>	CI	M. Yamashita, et al., "An Optical Signal Monitor Circuit for WDM Network", <u>The 1997 IEICE Fall Conference, Communication Society</u> , 1997, p. 357. (Complete Translation provided)
<i>AB</i>	CJ	Chang et al., "Multiwavelength Reconfigurable WDM/ATM/SONET Network Testbed", <u>Journal of Lightwave Technology</u> , Vo. 14, No. 6, June 1996, pp. 1320-1340.

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AB	DC	Shibata, "Position of high-density wavelength multiplexing optical communication", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication, O plus E</u> , Oct., 1997, No. 215, pp. 88-89. (Complete Translation provided) JUN 04 1999 Group 2700
AB	DD	K. Sato et al., "Photonic network and wavelength multiplexing technology", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication, O plus E</u> , Oct., 1997, No. 215, pp. 90-99. (Complete Translation provided)
AB	DE	M. Saruwatari, "Wavelength multiplexing system technology aiming at a large capacity and a long distance", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication, O plus E</u> , Oct., 1997, No. 215, pp. 100-108. (Complete Translation provided)
AB	DF	M. Suzuki, "Optical soliton and wavelength multiplexing", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication, O plus E</u> , Oct., 1997, No. 215, pp. 109-120. (Complete Translation provided)
AB	DG	Y. Inoue, "Optical fiber non-linear property and wavelength multiplexing process", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication, O plus E</u> , Oct., 1997, No. 215, pp. 121-127. (Complete Translation provided)
AB	DH	K. Tsujimura, "Apparatus configuration of wavelength-multiplexing optical communication system", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication, O plus E</u> , Oct., 1997, No. 215, pp. 128-135. (Complete Translation provided)
AB	DI	K. Oguchi, "Standardization Trend on Optical Networking", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication (2)</u> , O plus E, No. 216, pp. 109-118. (Complete Translation provided)
AB	DJ	K. Okamoto, "Optical Multiplexing and Demultiplexing Components for Wavelength Multiplexing", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication (2)</u> , O plus E, No. 216, pp. 119-126. (Complete Translation provided)
AB	DK	S. Sudo, "Optical Amplifiers for Wavelength Multiplexing", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication (2)</u> , O plus E, No. 216, pp. 127-134. (Complete Translation provided)
AB	DL	Y. Yoshikuni, "Optical Semiconductor Components", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication (2)</u> , O plus E, No. 216, pp. 135-142. (Complete Translation provided)
AB	DM	M. Nishimura, "Optical Fiber for Wavelength Division Multiplexing", <u>Special edition: Technology related to high-density wavelength division multiplexing optical communication (2)</u> , O plus E, No. 216, pp. 143-148. (Complete Translation provided)

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JUN 18 2002

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	Technology Center 2600	CLASS	SUB-CLASS	TRANSLATION YES NO
AB							

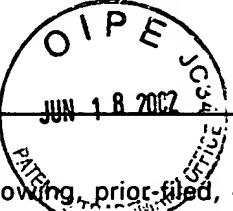
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JUN 18 2002

Sheet 1 of 1

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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614.1921 (PIK)APPLICATION NO.
09/248,103

FIRST NAMED INVENTOR

Tadao NAKAZAWA, et al.

FILING DATE

February 11, 1999

GROUP ART UNIT
3458

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AB	AG	WO 97/10658	20.03.97	PCT	H04J	14/02	
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NAKAZAWA et al.

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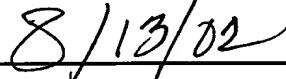
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